cis-Dihydroxylation of Olefins by a Non-Heme Iron Catalyst: A Functional Model for Rieske Dioxygenases**

Kui Chen and Lawrence Que, Jr.*

In memory of Sir Derek H. R. Barton

The biodegradation of aromatic hydrocarbons and related environmental pollutants is initiated by a family of bacterial enzymes called Rieske dioxygenases. These enzymes catalyze the NADH-mediated enantiospecific *cis*-dihydroxylation of arene and alkene double bonds with the incorporation of both atoms of dioxygen into *cis*-diol products. The recently reported crystal structure of naphthalene 1,2-dioxygenase shows that the active site contains a mononuclear non-heme Fe^{II} center in close proximity to a Rieske Fe₂S₂ center. The mononuclear Fe^{II} center is coordinated by two histidine residues and a bidentate aspartate group with two *cis* sites available for exogenous ligands (A, Figure 1). By analogy to

$$Asp O Fe OH_2 A$$

$$Asp O Fe OH_2 A$$

$$Asp O Fe OH_2 A$$

$$Asp O H_2 A$$

$$As$$

Figure 1. Structures of the mononuclear Fe^{II} center of naphthalene 1,2-dioxygenase ($\bf A$) and synthetic non-heme iron complexes.^[5, 10, 12, 15] Solv = solvent.

[Fe^{II}(cyclam)(CH₃CN)₂](O₃SCF₃)₂

[Fe^{II}(bph)(CH₃CN)₂](ClO₄)₂ 4

the mechanism of cytochrome P450, ^[6] it is proposed that the Fe^{II} center binds O_2 and accepts an electron from the Rieske cluster to give an Fe^{III} peroxo species that is responsible for oxidation of the substrate. ^[2, 7, 8] Since the only synthetic reagents capable of *cis*-dihydroxylation of olefins are OsO₄ and related high-valent compounds with *cis*-dioxo groups, ^[9]

we sought a precedent among biomimetic iron complexes for the proposed enzyme mechanism. However, no combination of an iron complex with O_2 or H_2O_2 has thus far been shown to perform cis-dihydroxylation of olefins. [10–14] Herein we report the first example of a non-heme iron complex that catalyzes olefin cis-dihydroxylation as a functional model for Rieske dioxygenases.

The mononuclear non-heme iron complex $[Fe^{II}(6-Me_3-tpa)(CH_3CN)_2](CIO_4)_2$ (1, Figure 1) consists of an Fe^{II} center with a tetradentate ligand and two *cis*-coordinated solvent ligands. Treatment of cyclooctene with 0.7 mm 1 and 10 equiv of H_2O_2 affords 4.9 turnover numbers (TN) of *cis*-cyclooctane-1,2-diol (Table 1, entry 1), a result unaffected by

Table 1. Catalysis of olefin oxidation by mononuclear iron complexes in combination with H_2O_2 .[a]

Entry	Ligand ^[b]	Labile	Substrate	H_2O_2	cis-Diol ^[c]	Epoxide[c]
		sites				
1	6-Me ₃ -tpa	two cis	cyclooctene	10	4.9(6)	0.7(2)
2	6-Me ₃ -tpa	two cis		20	10(2)	0.8(1)
3	6-Me ₃ -tpa	two cis		40	22(1)	1.6(1)
4	6-Me ₃ -tpa	two cis	cis-2-hexene[d]	10	5.2(6)	0.3(1)
5	6-Me ₃ -tpa	two cis	trans-2-hexene[d]	10	4.0(7)	0.3(1)
6	tpa	two cis	cyclooctene	10	2.6(3)	2.3(2)
7	N4py	one	cyclooctene	10	0	0.6(2)
8	bph	two trans	cyclooctene	10	0	2.5(2)
9	cyclam ^[10]	two trans	cyclohexene	50	0	20
10	tmp ^{[e][11]}	two trans	cyclooctene	200	0	4
11	$F_{20}tpp^{[e][11]}$	two trans	cyclooctene	200	0	172

[a] In entries 1-8, $0.7\,\text{mm}$ of iron complex was used with a ratio of iron catalyst to substrate of 1:1000. [b] See Figure 1. [c] Turnover numbers (TN, moles of product per mole of catalyst) reported here are based on the average of at least three runs. [d] Less than $0.1\,\text{TN}$ of *trans*-diol was detected. [e] $F_{20}\text{tpp} = meso$ -tetrakis(penta-fluorophenyl)porphinato dianion, tmp = tetramesitylporphinato dianion.

the presence of O₂. The cis-diol product was unequivocally identified by its characteristic GC retention time and ¹H NMR spectrum, which are readily distinguished from those of the trans isomer.[16] The iron catalyst is robust, as the 50% conversion efficiency into the cis-diol is maintained with additional aliquots of H₂O₂ (entries 2 and 3). Complex 1 also catalyzes the oxidation of cis- and trans-2-hexene to the corresponding cis-diol products with formation of only traces of the trans isomers (entries 4 and 5). The fact that neither cyclooctene oxide nor cis-2-hexene oxide is transformed into diol products under these reaction conditions suggests that epoxides are not the precursors of the cis-diols. The reaction with 1 as catalyst is thus quite remarkable, as the combination of FeII/H2O2 often generates HO and gives rise to nonstereospecific oxidation of substrates.^[17] There are only a few non-heme iron catalysts that are capable of stereospecific alkene epoxidation in combination with O2 or H2O2, [10, 13, 14] but 1 is the first catalyst for cis-dihydroxylation of alkenes.

Labeling experiments with 18 O in the *cis*-dihydroxylation of olefins by 1 / H_{2} O $_{2}$ further show the similarity of the reactions catalyzed by the model and the enzymes. When the oxidation of cyclooctene is carried out in air with 10 equiv of H_{2}^{18} O $_{2}$ and 1000 equiv of H_{2}^{16} O, 95(1)% of the *cis*-diol is doubly labeled and 4(1)% is singly labeled. The complementary experiment in the presence of 10 equiv of H_{2}^{16} O $_{2}$ and 1000 equiv of H_{2}^{18} O leads to only 1(1)% incorporation of a single 18 O label into

^[*] Prof. L. Que, Jr., K. Chen Department of Chemistry and Center for Metals in Biocatalysis University of Minnesota Minneapolis, MN 55455 (USA) Fax: (+1)612-624-7029 E-mail: que@chem.umn.edu

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the cis-diol and no doubly labeled product. Hence, the oxygen atoms in the cis-diol product are derived exclusively from H_2O_2 , not from H_2O or O_2 . Furthermore, when a mixture of 3.8 equiv of $H_2^{18}O_2$ and 6.2 equiv of $H_2^{16}O_2$ is used, the resulting cis-diol is 40(1)% doubly labeled and 1(1)% singly labeled, and this excludes the possibility that the two oxygen atoms of the cis-diol product are derived from two different molecules of H_2O_2 . Therefore, like Rieske dioxygenases, [2, 4] $\mathbf{1}$ catalyzes cis-dihydroxylation of olefins with incorporation of both oxygen atoms of one molecule of the oxidant.

We propose a mechanism for the cis-dihydroxylation of alkenes by 1/H₂O₂ that involves an intermediate Fe^{III}(OOH) species.[12, 14, 18] Although such an intermediate was not observed during the reaction of 1 and H_2O_2 , $Fe^{III}(\eta^1\text{-OOH})$ intermediates were observed for closely related iron complexes such as [Fe^{II}(tpa)(CH₃CN)₂](ClO₄)₂ (2) and [Fe^{II}(N4py)(CH₃CN)](ClO₄)₂ (3, Figure 1).[12, 14, 15, 18] Interestingly, the reactivities of 2 and 3 towards cyclooctene differ in spite of the similar spectroscopic properties of the Fe^{III}(OOH) intermediates. [12, 14, 18] With 10 equiv of H_2O_2 , 2 gives rise to 2.6 TN of cis-diol and 2.3 TN of epoxide, while 3 yields no cis-diol and only 0.6 TN of epoxide (Table 1, entries 6 and 7, respectively).[19] The inability of 3 to catalyze cis-dihydroxylation of alkenes in the presence of H2O2 suggests that the ability to form an $Fe^{III}(\eta^1\text{-OOH})$ intermediate alone is insufficient to elicit cis-dihydroxylation activity.^[18] We note that both 1 and 2 contain two cis solvent-occupied sites, a feature absent in 3, which contains a pentadentate ligand.[12, 15] Thus, the two labile sites in cis postions may play an important role in cisdihydroxylation of alkenes by 1 and 2. This hypothesis is supported by the reactivity of another related iron complex, namely, [Fe^{II}(bph)(CH₃CN)₂](ClO₄)₂ (4, Figure 1), which catalyzes only alkene epoxidation (Table 1, entry 8). Complex 4 has two labile sites trans to one another because the tetradentate ligand bph occupies four equatorial sites of the metal center. Similarly configured catalysts such as [Fe^{II}(cyclam)(CH₃CN)₂](O₃SCF₃)₂ (Figure 1) and iron porphyrins also exhibit the same reactivity pattern (entries 9-11).[10, 11] Hence, two labile sites in cis positions on the iron center are required for cis-dihydroxylation activity of the iron catalyst in combination with H_2O_2 .[20]

Why are two labile sites in *cis* positions required for *cis* dihydroxylation of alkenes? An attractive hypothesis is the involvement of an η^2 -peroxo intermediate, which can only be derived from an iron complex with two labile sites *cis* to one another (Scheme 1). The few known Fe^{III}(η^2 -O₂²⁻) species are unreactive as electrophiles^[21, 22] and are said to be activated by protonation.^[21, 23] We thus suggest the participation of an Fe^{III}(η^2 -OOH) intermediate in the reaction of **1** with H₂O₂. This intermediate could attack the alkene directly or via a transient high-valent oxo iron species that resembles the *cis*-dioxo metal moieties of OsO₄, MnO₄⁻, and RuO₄ that effect the *cis*-dihydroxylation of alkenes.^[9] The two C-O bonds of the *cis*-diol could then be formed concertedly, so that both oxygen atoms of H₂O₂ are incorporated into the product. Further studies are in progress to test this hypothesis.

In summary, we have discovered the first example of the *cis*-dihydroxylation of olefin by an iron catalyst 1 in combination with H_2O_2 . Both oxygen atoms of the oxidant are incorpo-

Scheme 1. Proposed mechanism for cis-dihydroxylation of alkenes by $1/H_2O_2$.

rated into the *cis*-diol product, so **1** serves as an excellent functional model for Rieske dioxygenases. Our studies show that two labile sites in *cis* positions are required for the reaction, consistent with the active-site geometry of naphthalene 1,2-dioxygenase (Figure 1).^[5] We propose that these two sites activate H_2O_2 via an $Fe^{III}(\eta^2\text{-OOH})$ intermediate. The similarities between the synthetic catalyst and the enzymes strengthen the hypothesis that an Fe^{III} peroxo species is involved in the *cis*-dihydroxylation reactions of Rieske dioxygenases.^[2, 7, 8]

Experimental Section

All reagents were purchased from Aldrich and used as received unless noted otherwise. $H_2^{18}O$ (96.5% ^{18}O -enriched) and $H_2^{18}O_2$ (90% ^{18}O -enriched, 2% solution in $H_2^{16}O$) were obtained from ICON. CH₃CN was pretreated by refluxing over CaH₂. All substrates were purified by distillation. In a typical reaction, 0.3 mL of a 70 mM H_2O_2 solution (21 µmol, diluted from 35% H_2O_2 solution in H_2O) in CH₃CN was delivered by syringe pump over 30 min at 25 °C under air to a vigorously stirred solution in CH₃CN (2.7 mL) containing 0.7 mM 1 (2.1 µmol) and 0.7 m cyclooctene (2.1 mmol). The reaction was quenched by addition of 0.1 mL of 1-methylimidazole and 1 mL of acetic anhydride to esterify the diol product[24] for GC (AT-1701, FID) or GC/CI-MS analysis (HP 5898 with DB-5, Finnigan MAT 95 mass detector, NH₃ as ionization gas).

Caution: Complexes with organic ligands and perchlorate anions are potentially explosive.

bph: An aqueous solution of NaOH (0.400 g, 10.0 mmol, 5 mL) was added dropwise to an aqueous solution of picolyl chloride hydrochloride (0.823 g, 4.92 mmol, 8 mL) at 0 °C. To this mixture was added an aqueous solution of homopiperazine (hpz, 0.251 g, 2.46 mmol, 5 mL) over 15 min, and the mixture was stirred for 3 d. The reaction mixture was extracted with CHCl₃ (4 × 20 mL), and the organic layer was washed (satd NaHCO₃, 2 × 20 mL) and dried (Na₂SO₄). Removal of the solvent gave a yellow oil (83 % yield). ¹H NMR (300 MHz, CDCl₃, 25 °C): δ = 8.53 (2H, α -py), 7.65, 7.14 (4H, β -py), 7.48 (2H, γ -py), 3.82 (4H, CH₂-py), 2.81 (4H, 5-, 7-CH₂ of hpz), 2.77 (4H, 2-, 3-CH₂ of hpz), 1.84 (2H, 6-CH₂ of hpz).

3: Equimolar amounts of BPH and Fe(ClO₄)₂·6H₂O were mixed in CH₃CN under Ar. The complex was precipitated by vapor diffusion of diethyl ether into the clear red solution. 1 H NMR (300 MHz, CD₃CN, 25 °C): δ = 244.5 (2 H, T_1 = 2.9 ms, α -py), 168.6, 114.1 (4 H, T_1 = 2.8, 1.7 ms; 2-, 3-CH₂ of hpz), 102.2 (4 H, T_1 = 1.2 ms; 5-, 7-CH₂ of hpz), 89.5, 17.2 (4 H, T_1 = 0.7, 0.8 ms; CH₂-py), 54.7, 43.3 (4 H, T_1 = 14.5, 17.0 ms; β -py), 0.2 (2 H, T_1 = 34.4 ms, γ -py), -27.4, -34.2 (2 H, T_1 = 5.1, 4.5 ms; 6-CH₂ of hpz).

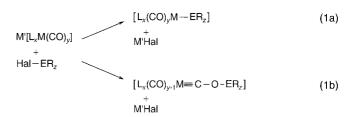
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Unique Rearrangement of an Oxycarbyne Complex: Synthesis and Structure of Novel Diborane(4)yl Complexes**

Holger Braunschweig,* Margot Koster, and Karl W. Klinkhammer

The salt elimination reaction between mononuclear anionic transition metal carbonyl complexes $M'[L_xM(CO)_y]$ and main group element halides HalER, is a fundamental reaction in transition metal chemistry, and has had a pivotal role in establishing complexes $[L_r(CO)_vM-ER_z]$ with bonds between main group elements and transition metals [Eq. (1 a)]. [1a,b] The general formation of M-E bonds suggests that the transition metal acts as the nucleophilic center in these reactions.[1b] There is, however, spectroscopic and experimental evidence that the carbonyl oxygen atom also displays some nucleophilic character in anionic complexes $M'[L_xM(CO)_y]$, especially towards hard and bulky Lewis acids.[2a-d] The addition of the carbonyl oxygen atom to the element E with salt elimination [Eq. (1b)] is expected to lead to the formation of transiton metal oxycarbyne complexes of the type $[L_r(CO)_{v-1}M \equiv C - O - ER_z]$; this alternative pathway to the common formation of $[L_x(CO)_vM-ER_z]$ [Eq. (1 a)], however, has only been observed in one example.[3]



Over the last six years, salt elimination reactions have been very successfully employed in the synthesis of transition metal complexes of boron, especially for boryl and borylene complexes. [4a,b] Recently, we described the synthesis and characterization of the first diborane(4)yl complexes [$(\eta^5 - C_5H_5)(CO)_nM\{B(NMe_2)B(NMe_2)Hal\}]$ (M = Fe, Ru, n=2; M = Mo, W, n=3; Hal = Cl, Br), which were obtained by this method from reactions of the corresponding anionic transition metal complexes and $B_2(NMe_2)_2Hal_2$. [5a,b]

In contrast to the known reactivity of $K[(\eta^5-C_5H_5)M(CO)_3]$ (M = Mo, W) towards 1,2-dibromo- and 1,2-dichlorodiboranes(4), the corresponding reactions with $B_2(NMe_2)_2I_2$ give the dinuclear oxycarbyne complexes **1a**, **b** (Scheme 1). These products were formed by a nucleophilic attack of a CO oxygen atom on each boron center with elimination of two equivalents of KI. Both products, which were isolated as

Fax: (+49) 241-8888288

E-mail: holger.braunschweig@ac.rwth-aachen.de

Dr. K. W. Klinkhammer

Institut für Anorganische Chemie der Universität Stuttgart Pfaffenwaldring 55, D-70569 Stuttgart (Germany)

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^[*] Dr. H. Braunschweig, M. Koster Institut f\u00fcr Anorganische Chemie der Technischen Hochschule D-52056 Aachen (Germany)